

P21407.P01

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

P21407

Total Pages

Inventor(s) or Application Identifier  
Yuji HANADA and Shinichi KAKIUCHITitle: AUXILIARY POWER SUPPLY UNIT AND  
PORTABLE ELECTRONIC SYSTEM

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

## ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.  
a. ☐ Small Entity  
Statement(s)
3. ☒ Specification [Total Pages 30]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program  
listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 7]
5. ☒ Oath or Declaration [Total Pages 3]  
a. ☒ Newly executed (original or copy) ☐ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 5b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer  
Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published 6
17. ☒ Foreign priority claimed  
a. ☒ Claim of Priority  
b. ☒ Certified Copy of Priority Document(s)
18. ☒ Assignee: ASAHI KOGAKU KOGYO KABUSHIKI  
KAISHA of Tokyo, JAPAN
19. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. \_\_\_\_\_, filed \_\_\_\_\_.  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_21. ☐ Amend the specification by inserting before the first line the sentence:

This application is a \_\_\_\_\_ continuation-in-part, \_\_\_\_\_ continuation, \_\_\_\_\_ divisional, of Application No. \_\_\_\_\_, filed \_\_\_\_\_.

Address all future correspondence to **Customer No. 7055** at the present address of:GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

Date



07055

PATENT TRADEMARK OFFICE

Leslie J. Bernstein Reg. No. 33,329  
SignatureBruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

<b>FEE TRANSMITTAL</b>		<b>Complete if Known</b>																																																																																																																																																																																																																																																																																																					
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<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION (fees effective 12/29/99)</b></p> <p><b>1. FILING FEE</b></p> <table border="0" style="width:100%;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td><u>740</u></td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td><u>      </u></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td><u>      </u></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td><u>      </u></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td><u>      </u></td></tr> <tr><td colspan="5"><b>SUBTOTAL (1) (\$)</b></td><td><b><u>740</u></b></td></tr> </tbody> </table> <p><b>2. 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128	1,960	228	980	Extension for response within 5th month	<u>      </u>																																																																																																																																																																																																																																																																																																		
119	320	219	160	Notice of Appeal	<u>      </u>																																																																																																																																																																																																																																																																																																		
120	320	220	160	Filing a brief in support of an appeal	<u>      </u>																																																																																																																																																																																																																																																																																																		
121	280	221	140	Request for oral hearing	<u>      </u>																																																																																																																																																																																																																																																																																																		
138	1,510	138	1,510	Petition to institute a public use proceeding	<u>      </u>																																																																																																																																																																																																																																																																																																		
140	110	240	55	Petition to revive unavoidably abandoned application	<u>      </u>																																																																																																																																																																																																																																																																																																		
141	1,280	241	640	Petition to revive unintentionally abandoned application	<u>      </u>																																																																																																																																																																																																																																																																																																		
142	1,280	242	640	Utility issue fee (or reissue)	<u>      </u>																																																																																																																																																																																																																																																																																																		
143	460	243	230	Design issue fee	<u>      </u>																																																																																																																																																																																																																																																																																																		
144	620	244	310	Plant issue fee	<u>      </u>																																																																																																																																																																																																																																																																																																		
122	130	122	130	Petitions to the Commissioner	<u>      </u>																																																																																																																																																																																																																																																																																																		
123	50	123	50	Petitions related to provisional applications	<u>      </u>																																																																																																																																																																																																																																																																																																		
126	180	126	180	Submission of IDS	<u>      </u>																																																																																																																																																																																																																																																																																																		
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40</u>																																																																																																																																																																																																																																																																																																		
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	<u>      </u>																																																																																																																																																																																																																																																																																																		
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	<u>      </u>																																																																																																																																																																																																																																																																																																		
179	740	279	370	Request for Continued Examination (RCE)	<u>      </u>																																																																																																																																																																																																																																																																																																		
169	900	169	900	Request for expedited examination of a design application	<u>      </u>																																																																																																																																																																																																																																																																																																		
Other fee (specify) _____					<u>      </u>																																																																																																																																																																																																																																																																																																		
Other fee (specify) _____					<u>      </u>																																																																																																																																																																																																																																																																																																		
<b>SUBTOTAL (3) (\$)</b>					<b><u>40</u></b>																																																																																																																																																																																																																																																																																																		

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number      29,027	
Signature				Date <u>12/18/01</u>	
		33,329		Deposit Account User ID	